



TECHNOLOGY-RELATED MATTERS  
PATENTS, TRADEMARKS, COPYRIGHTS

LAW OFFICES  
**DAVID WEISS**  
2551 COLORADO BOULEVARD  
LOS ANGELES, CALIFORNIA 90041-1040  
TELEPHONE (323) 254-5020

FAX: (323) 254-4619  
E-mail: weisslaw@pacbell.net

*CJ 3627*  
*#5*

October 23, 2001

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

In re Application of: Ira J. Simon and Martin S. Simon )  
Serial No.: 09/917,019 )  
Filed: July 25, 2001 )  
Title: Anti-Vandal Door Lock Apparatus )  
Attorney Docket 10384 )

**RECEIVED**  
FEB 21 2002  
**GROUP 3600**  
Group Art Unit: 3627  
Examiner: L. Gall

Sir:

Enclosed for filing in the above-identified application is a Preliminary Amendment Regarding Information Disclosure Statement with a copy of the noted English-language translation, along with a copy of the PTO "Notice of Non-Compliant Amendment" concerning a previously submitted "Preliminary Amendment Regarding Information Disclosure Statement".

Please acknowledge receipt by date-stamping and returning the enclosed postcard.

Respectfully submitted,

David Weiss  
Registration No. 24,803

2551 Colorado Boulevard  
Los Angeles, California 90041  
(323) 254-5020

DW:ms  
Enclosures

CERTIFICATE OF MAILING

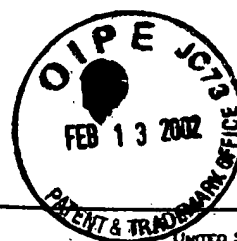
I hereby certify that this correspondence is being deposited with the United States Postal Service on this date October 23, 2001 as first class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Executed at Los Angeles, California, on October 23, 2001

Margaret Switzer



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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|                 |             |                      |                     |
|-----------------|-------------|----------------------|---------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. |
|-----------------|-------------|----------------------|---------------------|

EXAMINER

ART UNIT

PAPER NUMBER

DATE MAILED:

RECEIVED

FEB 21 2002

**GROUP 3600**  
Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 10-5-01 is considered non-compliant because it has not been submitted in the format required under 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000).

- ☐ 1. The amendment does not include a clean version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(ii).
- ☒ 2. The amendment does not include a marked-up version of the replacement paragraph(s)/section(s). 37 CFR 1.121(b)(1)(iii).
- ☐ 3. The amendment does not include a clean version of the amended claim(s). 37 CFR 1.121(c)(1)(i).
- ☐ 4. The amendment does not include a marked-up version of the amended claim(s). 37 CFR 1.121(c)(1)(ii).
- ☐ 5. Other \_\_\_\_\_

☒ **PRELIMINARY AMENDMENT:** Unless applicant re-submits the preliminary amendment in compliance with revised 37 CFR 1.121 within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

- ☐ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

For your convenience, attached to this correspondence is a copy of an informational flyer (MPEP Bookmark Bulletin on "Simplified Amendment Practice").

Jon  
Legal Instruments Examiner